

07/06/01



J1130 U.S. PTO

07-09-01

Attorney Docket No.: 099782.00001

JC997 U.S. PTO  
09/900724  
07/06/01

THE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231  
Sir:

Transmitted herewith for filing is the patent application of Inventor(s) James G. Squyres

Title: **METHOD AND SYSTEM FOR COMPARISON AND EVALUATION OF INVESTMENT PORTFOLIOS**

Enclosed are:

- ☒ 34 sheets of specification and 3 sheet(s) of drawing(s).
- ☒ An Assignment of the invention to BUYSIDE RESEARCH LLC and an Assignment Recordation cover sheet.
- ☐ A certified copy of a priority application.
- ☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27.
- ☒ An executed declaration/power of attorney.
- ☒ An Information Disclosure Statement and form PTO-1449.
- ☐ Other

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE				\$ 710.00
TOTAL CLAIMS	33 - 20 =	13	x 18	\$ 234.00
INDEP CLAIMS	3 - 3 =	0	x 80	\$ 0.00
MULTIPLE DEPENDENT CLAIM PRESENTED			x 270	\$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL	\$ 944.00

☐ Please charge Deposit Account No. **50-0540** in the amount of \$\_\_\_\_\_ to cover the filing fee. The Commissioner is also hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-0540**. A duplicate copy of this sheet is enclosed.

- ☒ Any additional filing fees required under 37 C.F.R. § 1.16.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.

☒ A check in the amount of \$944.00 to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. **50-0540**. A duplicate copy of this sheet is enclosed.

- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☐ The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).
- ☒ Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Date: July 6 2001

Respectfully Submitted,

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**EXPRESS MAIL CERT. NO. EL416581278US**  
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